

## CONTINUATION APPLICATION TRANSMITTAL FORM

## PRIOR APPLICATION

Examiner: Anne Marie Falk

Art Unit: 1632

To the Commissioner for Patents:

This continuation application is being filed before the patenting, abandonment or termination of proceedings on non-provisional Application Serial No. 09/294,980, filed April 19, 1999, which in turn claims benefit of priority to provisional patent application 60/083,472, filed April 29, 1998, entitled COMPOSITIONS AND METHODS FOR MODULATING NEURAL SPROUTING by the following named inventors:

1	Full Name of Inventor	Last Name: Dolly	First Name: J.	Middle Name: Oliver	
	Residence and Citizenship	City: Cheam Surry SM27LP	State or Foreign Country: United Kingdom	Country Of Citizenship: Ireland	
	Post Office Address	Post Office Address: 7 Buckland Road	City: Cheam Surry SM27LP	State or Country: United Kingdom	Zip Code:
2	Full Name of Inventor	Last Name: Aoki	First Name: Kei	Middle Name: Roger	
	Residence and Citizenship	City: Coto De Caza	State or Foreign Country: CA	Country Of Citizenship: USA	
	Post Office Address	Post Office Address: 2 Ginger Lily Court	City: Coto De Caza	State or Country: California	Zip Code: 92679
3	Full Name of Inventor	Last Name: De Paiva	First Name: Anton	Middle Name:	
	Residence and Citizenship	City: London	State or Foreign Country: England	Country Of Citizenship: Britain	
	Post Office Address	Post Office Address: 62 Ravensmede Way	City: London	State or Country: England	Zip Code:

This continuation application is being filed before the patenting, abandonment or termination of proceedings on non-provisional Application Serial No. 09/294,980, filed April 19, 1999, which in turn claims benefit of priority to provisional patent application 60/083,472, filed April 29, 1998.

- (x) Enclosed is a specification, which is a copy of prior application Serial No. 09/294,980.
- () Enclosed is an unsigned oath or declaration.
- (x) Enclosed is a copy of the oath or declaration signed by all or fewer than all the named inventors from a prior application
- (X) A self-addressed return postcard is enclosed for verification of receipt.
- (X) The filing fee is calculated below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
Basic Fee (Large entity)			\$750	\$750.00
Total Claims	24 minus 20 =	4	× \$18	\$72.00
Independent Claims	2 minus 3 =	0	× \$84	\$0.00
If application contains any multiple dependent claims), then add \$280.00				\$0.00
<b>TOTAL FILING FEE</b>				<b>\$822.00</b>

- (X) The Commissioner is hereby authorized to charge the filing fee and excess claim fees (including multiple dependent claim fee) as stated above to Deposit Account No. 01-0885. If this amount is incorrect, or for payment of any other fees that may be incurred as a result of this communication please use said Deposit Account. A duplicate copy of this sheet is enclosed for that purpose.
- (X) Kindly amend the specification to replace the sentence immediately following the title to read as follows: "This application is a continuation of co-pending application Serial No. 09/294,980, filed April 19, 1999, which claimed priority under 35 USC 119(e) to provisional patent application 60/083,472, filed April 29, 1998."
- () Priority of application Serial No. , filed on , is claimed under 35 USC 119.
- () The certified copy has been filed in prior application Serial No. , filed .
- (X) The prior application is assigned of record to Allergan, Inc. and Imperial College of Science and Technology.
- () New informal drawings are enclosed in \_\_\_ sheets.
- (X) A Statement Pursuant to 37 CFR 1.821(f) and a labeled diskette containing the computer readable sequence listing has been filed in prior application Serial No. 09/294,980 filed

- ☐ A Statement Pursuant to 37 CFR § 1.821(e), stating that the paper copy and the computer readable form are identical is filed herewith.
- ☒ Statement Pursuant to 37 CFR 1.821(e) requesting use of CFR filed in co-pending parent patent application 09/294,980, filed April 19, 1999.
- ☐ A properly labeled computer readable form of the Sequence Listing accompanies this Application.
- ☒ The Power of Attorney in the prior application is to Carlos A. Fisher, Registration Number 36,510.
- ☒ The Power of Attorney appears in the original papers in the prior application.
- ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- ☐ A copy of the Request for Extension of Time filed in the prior application is enclosed.

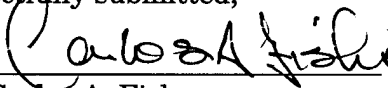
Please address all future communications to:

Carlos A. Fisher  
Registration No. 36,510  
ALLERGAN, INC.  
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2525 Dupont Drive  
Irvine, CA 92612  
Tel: 714-246-4920  
Fax: 714-246-4249

Respectfully submitted,

Date: \_\_\_\_\_

9/18/03



Carlos A. Fisher  
Registration No. 36,510  
Attorney of Record

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (Label No. EV193718253US) in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: Date of Deposit: 9/18/2003

Person making Deposit: BONNIE FERGUSON

Signature: Bonnie Ferguson

Date of Signature: 9/18/2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In Re Application of: Dolly et al

File Reference No:17259CON(AP)

Application No.: Not Assigned

Filing Date: Not Assigned

For: COMPOSITIONS AND  
METHODS FOR MODULATING  
NEURAL SPROUTING

I hereby certify that this correspondence is being deposited  
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Person making Deposit: Bonnie Ferguson  
Signature: 9/18/2003  
Date of Signature: 9/18/2003

CERTIFICATE OF EXPRESS MAILING

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

Specifically, accompanying this communication please find:

- (a) Postcard
- (b) Certificate of Express Mailing
- (c) Transmittal Letter
- (d) Specification (32 pages) (as originally filed in SN 09/294,980)
- (e) PTO 1449 (as originally filed in SN 09/294,980)
- (f) Declaration, Power of Attorney (as originally filed in SN 09/294,980)
- (g) Assignment & Cover Sheet (as originally filed in SN 09/294,980)
- (h) Sequence Statement (as originally filed in SN 09/294,980)
- (i) Sequence Listing

Date: 9/8/03

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Respectfully submitted,

Bonnie Ferguson  
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